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S&amp;H Form: (2/01)

|   |  |   |                               |
|---|--|---|-------------------------------|
| FORM PTO-1449   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO.<br>1359.1041                  | APPLICATION NO.<br>09/788,474 |
| <b>LIST OF REFERENCES CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) |  | FIRST NAMED INVENTOR<br>Masatoshi SHIOUCHI et al. |                               |
|   |  | FILING DATE<br>February 21, 2001                  | GROUP ART UNIT<br>2152        |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|----------------------|--------------|------|------|-------|---------------|----------------|
| AA                   |              |      |      |       |               |                |
| AB                   |              |      |      |       |               |                |
| AC                   |              |      |      |       |               |                |
| AD                   |              |      |      |       |               |                |
| AE                   |              |      |      |       |               |                |
| AF                   |              |      |      |       |               |                |

## FOREIGN PATENT DOCUMENTS

|    | DOCUMENT<br>NO. | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |
|----|-----------------|------|---------|-------|---------------|-----------------------|
| AG |                 |      |         |       |               |                       |
| AH |                 |      |         |       |               |                       |
| AI |                 |      |         |       |               |                       |
| AJ |                 |      |         |       |               |                       |
| AK |                 |      |         |       |               |                       |
| AL |                 |      |         |       |               |                       |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |
|----|--|
| AM | "What is gnutella?", < <a href="http://www.gnutellanews.com/information/what_is_gnutella.shtml">http://www.gnutellanews.com/information/what_is_gnutella.shtml</a> >.  |
| AN | "The Gnutella Protocol Specification v0.4" (Document Revision 1.0), < <a href="http://www.clip2.com/GnutellaProtocol04.pdf">http://www.clip2.com/GnutellaProtocol04.pdf</a> >.   |
| AO | "Groove 1.0 Features", < <a href="http://www.groove.net/pdf/groove1_0_features.pdf">http://www.groove.net/pdf/groove1_0_features.pdf</a> >.  |
| AP | "Security Services", < <a href="http://www.groove.net/feature/security/securitybrief.pdf">http://www.groove.net/feature/security/securitybrief.pdf</a> >.  |
| AQ | "An Introduction to Groove Security Services", grooveNETWORKS, < <a href="http://www.groove.net/feature/security/groovesecurityservices.ppt">http://www.groove.net/feature/security/groovesecurityservices.ppt</a> >.  |
| AR | "Secure, Managed Business File Trading with The Direct File Express Family of Products", Harmonic Invention Software, < <a href="http://harmonicinvention.com/presentation/presentation.zip">http://harmonicinvention.com/presentation/presentation.zip</a> >. |

|   |                  |                 |         |
|---|------------------|-----------------|---------|
| EXAMINER  | <i>A. Shoket</i> | DATE CONSIDERED | 6/17/04 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |                 |         |

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